



IFW 2167 \$

PTO/SB/21 (09-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/997,311
Filing Date	November 27, 2001
First Named Inventor	Shay, James R.
Art Unit	2167
Examiner Name	Luke S Wassum
Attorney Docket Number	021737-001410US

### ENCLOSURES (Check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>SB/08<br>34 References<br>Return Postcard |
|---|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Chad E. King		
Date	6-23-2006	Reg. No.	44,187

### CERTIFICATE OF TRANSMISSION/MAILING

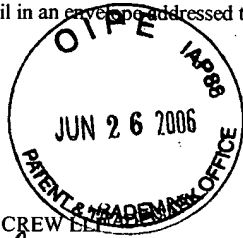
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	Noorjahan Gillow		
Typed or printed name	Noorjahan Gillow	Date	6-23-2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On June 23, 2006



PATENT  
Attorney Docket No.: 021737-001410US

TOWNSEND and TOWNSEND and CREW LLP

By:

*Noorjahan Gillow*  
Noorjahan Gillow

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

James R. Shay et al.

Application No.: 09/997,311

Filed: November 27, 2001

For: COMPUTER IMPLEMENTED  
METHOD FOR CONTROLLING  
DOCUMENT EDITS

Customer No. 20350

Confirmation No.: 3014

Examiner: Luke S Wassum

Art Unit: 2167

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references (in compliance with the requirements of 37 CFR §1.98(a)(2)) are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

09997311

00000009 201430

06/27/2006 CCHAU1

180.00 DA

01 FC:1806

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

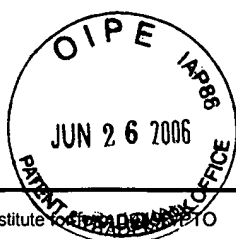
Respectfully submitted,



Chad E. King  
Reg. No. 44,187

Date: June 23, 2006

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
CEK:ng



Substitute for Form PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

4

**Complete if Known**

Application Number	09/997,311
Filing Date	November 27, 2001
First Named Inventor	Shay, James R.
Art Unit	2167
Examiner Name	Luke S Wassum
Attorney Docket Number	021737-001410US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,107,419	04-21-1992	MacPhail	
	A2	US-5,144,556	09-01-1992	Wang et al.	
	A3	US-5,778,398	07-07-1998	Nagashima et al.	
	A4	US-5,842,009	11-24-1998	Borovoy et al.	
	A5	US-6,014,663	01-11-2000	Rivette et al.	
	A6	US-6,078,934	06-20-2000	Lahey	
	A7	US-6,134,660	10-17-2000	Boneh et al.	
	A8	US-2001-0039505 A1	11-08-2001	Cronin	
	A9	US-2001-0049670 A1	12-06-2001	Cronin	
	A10	US-2002-0062360 A1	05-23-2002	Ishiguro	
	A11	US-2002-0093528 A1	07-18-2002	Grainger	
	A12	US-2002-0111817 A1	08-15-2002	Cronin	
	A13	US-2002-0111824 A1	08-15-2002	Grainger	
	A14	US-2002-0116363 A1	08-22-2002	Grainger	
	A15	US-2002-0120557 A1	08-29-2002	Chien	
	A16	US-2002-0161733 A1	10-31-2002	Grainger	
	A17	US-6,484,149 B1	11-19-2002	Jammes et al.	
	A18	US-6,499,026 B1	12-24-2002	Rivette et al.	
	A19	US-6,584,466 B1	06-24-2003	Serbinis	
	A20	US-6,652,178 B2	11-25-2003	Walton	
	A21	US-6,694,315 B1	02-17-2004	Grow	
	A22	US-2004-0181427 A1	09-16-2004	Stobbs et al.	
	A23	US-6,859,806 B1	02-22-2005	Kamarei et al.	
	A24	US-6,877,137 B1	04-05-2005	Rivette et al.	
	A25	US-6,886,025 B1	04-26-2005	Britton, Larry W	
	A26	US-6,918,082 B1	07-12-2005	Gross et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1							<input type="checkbox"/>
	B2							<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/997,311
				Filing Date	November 27, 2001
				First Named Inventor	Shay, James R.
				Art Unit	2167
				Examiner Name	Luke S Wassum
Sheet	2	of	4	Attorney Docket Number	021737-001410US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	Bambridge, John, "A Java Application Framework For Support Staff And epoline (MADRAS)," Draft v1.0, pp. 1-6, 4-30-2004	<input type="checkbox"/>	
	C2	Chartrand, Sabra, "Patents, The Process Of Filing An Application Is Slowly Catching Up With The Technology Available," The New York Times, 3 pages, January 26, 1998	<input type="checkbox"/>	
	C3	Computer Patent Annuities, "Memotech Interface Systeme: IP Suite Features," <a href="http://www.cpajersey.com/cpajersey/mis2.htm">http://www.cpajersey.com/cpajersey/mis2.htm</a> , 3 pages, June 14, 2001	<input type="checkbox"/>	
	C4	European Patent Office, "Technical Report On Patent Information Activities In 1999," 37 pages, no date	<input type="checkbox"/>	
	C5	FirstUse.com, "Digital Audit System," <a href="http://www.firstuse.com">http://www.firstuse.com</a> , 1 page, 2000	<input type="checkbox"/>	
	C6	FirstUse.com, "Digital Audit System, Press Kit, Fact Sheet," <a href="http://www.firstuse.com/press/presskit/index.htm">http://www.firstuse.com/press/presskit/index.htm</a> , 2 pages, 2000	<input type="checkbox"/>	
	C7	FirstUse.com, "Digital Audit System, Digital Audit Center, How-It-Works," <a href="http://www.firstuse.com/center/howitworks/index.htm">http://www.firstuse.com/center/howitworks/index.htm</a> , 3 pages, 2000	<input type="checkbox"/>	
	C8	FirstUse.com, "Digital Audit System, Welcome To FirstUse.com," <a href="http://www.firstuse.com/company/index.htm">http://www.firstuse.com/company/index.htm</a> , 3 pages, 2000	<input type="checkbox"/>	
	C9	Larkey, Leah S., "A Patent Search And Classification System," ACM, pp. 179-187, 1999	<input type="checkbox"/>	
	C10	MADRAS, Figures 1-4, 4 pages, no date	<input type="checkbox"/>	
	C11	MADRAS, Screen Shots, 4 pages, no date	<input type="checkbox"/>	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/997,311
				Filing Date	November 27, 2001
				First Named Inventor	Shay, James R.
				Art Unit	2167
Examiner Name	Luke S Wassum				
Attorney Docket Number	021737-001410US				
Sheet	3	of	4		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C12	United States Patent and Trademark Office, eDAN, 4 pages, no date	<input type="checkbox"/>
	C13	United States Patent and Trademark Office, EAST, Figures 1-4, 4 pages, no date	<input type="checkbox"/>
	C14	United States Patent and Trademark Office, EAST, Figures 1-4 and Introduction, 6 pages, no date	<input type="checkbox"/>
	C15	United States Patent and Trademark Office, WEST, Figures 1-2, 2 pages, no date	<input type="checkbox"/>
	C16	United States Patent and Trademark Office, WEST, Figures 1-2 and "West Past Deployment/Enhancement Announcements," 5 pages, no date	<input type="checkbox"/>
	C17	US Patent Application No. 09/733,616, Office Action dated March 29, 2004, 21 pages	<input type="checkbox"/>
	C18	US Patent Application No. 09/733,616, Final Office Action dated October 25, 2004, 19 pages	<input type="checkbox"/>
	C19	US Patent Application No. 09/733,616, Office Action dated May 23, 2005, 20 pages	<input type="checkbox"/>
	C20	US Patent Application No. 09/733,616, Final Office Action dated February 21, 2006, 37 pages	<input type="checkbox"/>
	C21	US Patent Application No. 09/872,764, Office Action dated November 29, 2005, 8 pages	<input type="checkbox"/>
	C22	US Patent Application No. 09/919,764, Office Action dated July 26, 2004, 21 pages	<input type="checkbox"/>
	C23	US Patent Application No. 09/919,764, Office Action dated May 20, 2005, 21 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/997,311
				Filing Date	November 27, 2001
				First Named Inventor	Shay, James R.
				Art Unit	2167
				Examiner Name	Luke S Wassum
Sheet	4	of	4	Attorney Docket Number	021737-001410US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C24	US Patent Application No. 09/919,764, Office Action dated February 9, 2006, 22 pages	<input type="checkbox"/>
	C25	US Patent Application No. 09/919,784, Office Action dated September 30, 2005, 23 pages	<input type="checkbox"/>
	C26	US Patent Application No. 09/919,787, Office Action dated September 22, 2005, 20 pages	<input type="checkbox"/>
	C27	US Patent Application No. 09/996,077, Office Action dated December 9, 2005, 13 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/996,338, Office Action dated January 3, 2003, 13 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/996,338, Final Office Action dated September 25, 2003, 16 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/996,338, Office Action dated January 21, 2004, 10 pages	<input type="checkbox"/>
	C31	US Patent Application No. 09/996,338, Final Office Action dated January 14, 2005, 20 pages	<input type="checkbox"/>
	C32	US Patent Application No. 09/996,341, Office Action dated January 18, 2006, 19 pages	<input type="checkbox"/>
	C33	US Patent Application No. 09/997,269, Office Action dated July 16, 2003, 10 pages	<input type="checkbox"/>
	C34	US Patent Application No. 09/997,269, Office Action dated January 21, 2004, 15 pages	<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.